

# The CW-XMU System For CHiME-6 Challenge

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## Abstract

In this paper, we present Cloudwalk Technology and Xiamen University's joint effort for CHiME-6 Challenge to recognize highly-overlapped and very natural conversational speech in dinner party environment. We explored DNN-HMM hybrid system for track 1 rank A and end-to-end model for track 1 rank B. In addition, we also explore different data augmentation approaches and front-end speech enhancement methods to further improve the accuracy of speech recognition systems. We investigated various algorithms in speech diarization systems for track 2. Our system came up with 41.65% WER for development set and 40.24%WER for evaluation set in rank A, as well as 40.25% WER for development set and 39.62%WER for evaluation in rank B for track 1. For track 2 category A, results are 57.72%DER, 61.85%JER and 77.5%WER for development set, as well as 65.36%DER, 67.32%JER, 72.52%WER for evaluation sets.

**Index Terms:** speech recognition, CHiME-6 challenge, dinner party

## 1. Introduction

CHiME-6 features two tracks: multiple-array speech recognition (track 1) and multiple-array diarization with recognition (track 2). We participate in both category A and B for track 1 and category A for track 2. We are going to demonstrate our front-end system in Section 2.1, back-end system in Section 2.2, some efforts on language model for category B in Section 2.3 and experiments for track 2 in Section 3.

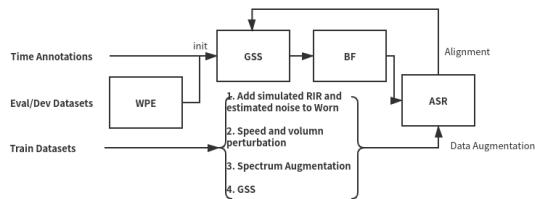


Figure 1: System Overview

## 2. Track1

In this section, we will introduce front-end enhancement, data augmentation, the modification on acoustic model training and lattice combination for track1. A simple overview of our system

based on official language modeling is illustrated from Figure 1.

### 2.1. Front-end Speech Enhancement

Our front-end framework is based on dereverberation, guided source separation and mask-based beamforming.

#### 2.1.1. Deverberation

Weighted prediction error WPE [1] has been proved that it can effectively improve real-life speech recognition performance, we employed multi-channel WPE [2] [1] to do speech dereverberation. We used nara\_wpe [1] with same configurations as baseline system for dereverberation which are 512 sample points for frame length, 128 sample points for frameshift, 10 frames for filter taps and 2 frames delay. Moreover, we have tried coherent-to-diffuse power ratio(CDR) [3] for dereverberation due to source signals were deeply reverberated. We modified CDR to satisfy 4 channels signals. Table 1 showed that WPE was out performed than CDR.

| Dereverberation | Eval(WER) |
|-----------------|-----------|
| Wpe(Baseline)   | 52.04%    |
| Cdr             | 54.19%    |

Table 1: Recognition rate comparison between WPE and CDR

#### 2.1.2. Guided Source Separation

Complex Angular Central Gaussian Mixture Model(cACGMM) has been explored that it can significantly solve the guided source separation problem [4] [5].

However, due to unmarked silence between words, the annotations provided from datasets are not perfectly precise. Hence, we followed up frame-level alignment for GSS from well-trained ASR model [6] [7]. The model we used for alignment is trained.

In our experiment, the training data was augmented with 12mics and 24mics GSS. For evaluations, the GSS was set with 5 iterations, and realigned by pre-trained ASR model. We used different training data combinations to train models with same structure, as shown in table 2.

| Alignment from model | Train data                         |
|----------------------|------------------------------------|
| x                    | Augmented worn + array +beamformit |
| y                    | Augmented worn + gss + array       |
| z                    | GSS                                |

Table 2: Alignments from different models

Realignments from well-trained ASR models can at least enhance 1.5% absolute WER in our systems. The results in table 3 illustrates alignment from model trained with augmented gss worn and array data provided the best enhancement, and the alignment from model trained with augmented worn, array and beamformit data is better than the alignment from model trained with pure gss is because the former model has better variety.

| Model                       | GSS realignment from Model | WER   |
|-----------------------------|----------------------------|-------|
| MULTI-CNN-TDNNF-ATT-specaug | None                       | 45.11 |
|                             | x                          | 43.82 |
|                             | y                          | 43.78 |
|                             | z                          | 43.86 |

Table 3: Best single model gss realignment results

### 2.1.3. Beamforming

Given the estimated mask from Guided Source Separation, Minimum Variance Distortionless Response beamformer with speaker-aware complex Gaussian mixture models has been applied. We used the baseline cgmm-mvdr for pb\_chime5 tool [8].

## 2.2. Back-end ASR For RankA

### 2.2.1. Acoustic Model

We experimented various network structures including tdnnf, residual cnn, fsmn and self-attention for hybird system. For the final result, minimum Bayesian risk lattice combination is applied and the lattices are from these acoustic models.

- **Res-cnn-tdnnf-self-attention:** 6-layers convolution neural network with skip connections every two layers, 15-layer tdnnf and a time-restricted self-attention block.
- **Res-cnn-fsmn:** 6-layers convolution neural network with skip connections every two layers, 10-layer pyramidal fsmn block.
- **Spec-aug-cnn-tdnnf:** Spec augmentation is applied in front of 6-layers CNN block, followed by 15-layer tdnnf.
- **Multi-cnn-tdnnf-self-attention:** Three 6-layers cnn blocks with different Convolution kernel size simultaneously concate by 15-layer tdnnf and time-restricted self-attention block.

In the experiments, 40-dim fbank and 120-dim i-vector features are fixed as the network input. Acoustic model structures are one of the most influential factors for the recognition tasks. Thus, we trained several AM structures and some of them out perform the Kaldi baseline TDNNF model in table 4. MULTI-CNN-TDNNF-ATTENTION with spec augment shows the superior result.

| MODEL                       | Eval (WER) |
|-----------------------------|------------|
| TDNNF-baseline              | 52.04%     |
| CNN-FSMN-ATT-1c             | 49.96%     |
| CNN-(14)TDNNF-ATT           | 49.64%     |
| CNN-(13)TDNNF-ATT           | 49.84%     |
| MULTI-CNN-TDNNF-ATT-specaug | 48.41%     |
| TDNN-RBiLSTM                | 53.92%     |

Table 4: Variant AM architectures comparison

During training, LF-MMI and LF-bMMI are used. It is found that half-LF-MMI-half-LF-bMMI training criterion reaches the best result as shown in Figure 5

| Training criterion | Eval (WER) |
|--------------------|------------|
| LF-MMI             | 52.04%     |
| LF-bMMI            | 52.35%     |
| Hybrid*            | 51.87%     |

Table 5: Various training criterion results with Kaldi baseline setup

### 2.2.2. Neural-Network Alignment

Due to the complicated representation of audio data, GMM could be less inaccurate for phonetic alignment. Thus, we trained a chain model without subsampling for alignment which has shown improvement.

### 2.2.3. Data Augmentation

Since worn data is relatively clean, we simulated reverberated speech from the worn data by utilizing room impulse responses (RIR) and point-source noises. In ad- dition, we performed weighted prediction error (WPE), beam- forming, and hybrid speech enhancement on all training data for data augmentation. Finally, speed and volume perturbation- s were utilized on all training data. During Training, we also used SpecAugment to improve the robustness of our speech recognitions system.In addition, 24 and 12 micropphones GSS has been employed to augment training data. Two types of data are prepared, worn with array and guided speech separated training data, and pure guided speech separated training data.

The models are trained in two ways: one is pure GSS training data, and the other one combines worn, augmented worn and GSS data to further improve the training diversity. Table 6 illustrates the results of these two training methods. However, beamforming data makes degradation for results. It is inferred that beamforming method makes use of multi mic arrays which is not compatible with the test data generated by separation method.

| Model   | Data Combinations                          | Eval (WER) |
|---------|--|------------|
| Model A | Augmented worn + array + GSS               | 45.53%     |
|         | Pure GSS                                   | 47.06%     |
|         | Augmented worn + array + beamforming + GSS | 51.04%     |
| Model B | Augmented worn + array + GSS               | 45.87%     |
|         | Pure GSS                                   | 46.84%     |
|         | Augmented worn + array + beamforming + GSS | 50.82%     |
| Model C | Augmented worn + array + GSS               | 45.59%     |
|         | Pure GSS                                   | 46.69%     |
| Model D | Augmented worn + array + GSS               | 45.11%     |
|         | Pure GSS                                   | 46.47%     |

Table 6: Data combination brings more diversity in training process

#### 2.2.4. Other Tricks

- **Strict cleanup:** After decoding with training data, several utterances were found not match to the transcriptions, we remove part of them by high WER;
- **Chain-model tree leaves:** Various senones as modelling units are experimented, and found 5000 better than the baseline.

In hybrid ASR system, Phonetic alignment is critical to training. Since chain model performs best result and the robustness of neural network model. However, chain model output frame labels with sub-sampling of 3. To align the training data with it, a chain model without sub-sampling is used designed. 1.21% absolute improvement is received.

| Criterion | Type | Data                         | Type |
|-----------|------|------------------------------|------|
| LF-MMI    | 1    | Augmented worn + array + GSS | A    |
| LF-bMMI   | 2    | Pure GSS                     | B    |
| Hybrid    | 3    |                              |      |

Table 7 and Table 8: Training criterion and training data setup

The models are trained in two ways: one is pure GSS training data, and the other one combines worn, augmented worn and GSS data to further improve the training diversity, figure 9 illustrates the results of these two training methods. However, beamforming data makes degradation for results. It is inferred that beamforming method makes use of multi mic arrays which is not compatible with the test data generated by separation method.

In the final, we ensemble some of the best models using MBR(Minimum Bayesian Risk) decoding. The experiments indicate that not combining the best trained single models will not give the best ensemble result, but the models with rich training and data diversity do.

| MODEL | Criterion | DATA | Eval (WER) |
|-------|-----------|------|------------|
| 4 AMs | 1         | A    | 42.15%     |
| 4 AMs | 1         | B    | 43.54%     |
| 4 AMs | 2         | A    | 42.67%     |
| 4 AMs | 3         | A    | 41.34%     |
| 6 AMs | 1         | A+B  | 40.89%     |
| 6 AMs | 3         | A    | 41.15%     |
| 6 AMs | 3         | A+B  | 40.67%     |
| 8 AMs | 3         | A+B  | 40.24%     |

Table 9: Different ensemble combination and their results

### 2.3. RankB

#### 2.3.1. Language model rescore

In rank B, 4-stage pipeline are used. First, the lattice generated from HCLG.fst are rescored through a 4-gram language model. Then, a pruned lstm-based lattice rescore is applied. Finally, the lattice will be sent to an n-best rescore model.

#### 2.3.2. End-to-End ASR

We introduce the CTC loss function to assist Transformer in learning the speech-to-text alignment. A RNNLM is trained for decoding stage. The system layout of E2E can be reviewed in figure 2.

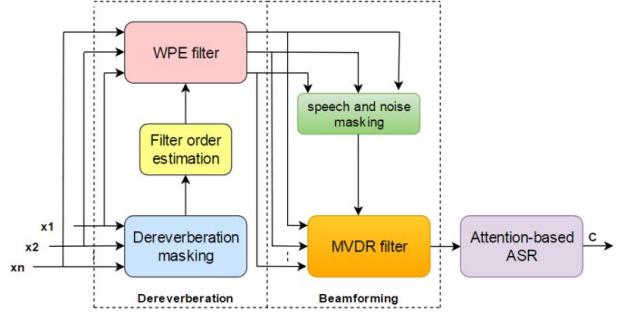


Figure 2: E2E System Overview

- **E2E data augmentation:** The data augmentation is similar as rank A. For better accuracy of the end-to-end speech recognition systems, we exploited three front-end speech enhancement algorithms on the development set and evaluation set of the CHiME-6 corpus: delay-and-sum beamforming, NN-based MVDR beamforming and hybrid speech enhancement.
- **Recognition details:** For the multi-channel end-to-end ASR system (ME2E), BLSTM Neural Networks were used for the dereverberation subnetwork and beamforming subnetwork to estimate the associated masks. Transformer architecture was used as the attention-based ASR module of ME2E. The training steps of ME2E were divided into three stages. First, we used the worn data to train a Transformer ASR model. Second, worn data and array data were used to train the dereverberation subnetwork and beamforming subnetwork based on MSE and SI-SNR objectives using the worn data as the training label. Finally, we trained the Transformer ASR model and the two speech enhancement networks jointly with worn data and array data.

The result of E2E system is shown in table 11.

## 3. Track2

The challenge speech contains multiple speakers, so we need to know the start and end time of each speaker, otherwise the performance of ASR will deteriorate sharply. This is exactly the goal of diarization. In this section, we will introduce the acoustic features, embedding extractors, and clustering algorithms used in diarization for track2.

### 3.1. Acoustic features

In diarization we mainly use three different configurations of acoustic features.

#### 3.1.1. Mel frequency cepstral coefficient features

For the first mel frequency cepstral coefficient (MFCC) feature extraction configuration, all audios are converted to the cepstral features of 23-dimensional MFCC with a frame-length of 25ms and a frame shift of 10ms. The second configuration differs only in the choice of dimensions, using 40 dimensions.

### 3.1.2. Filterbank features

The filter bank (FB) feature vectors include 40 dimensional FBs from the raw signal with a 25ms frame-length.

## 3.2. Embedding extractors

We have considered two different embedding extractors. The first embedding extractor we used is the official pretrained diarization model. X-vector [9] DNN is trained with the VoxCeleb [10, 11] data and PLDA model is trained with the CHiME-6 data. We use the same data to train the second model, with a different architecture and feature. In order to make speaker embedding have better distinction between classes, we chose the factorized time delay deep neural network (F-TDNN) [12] architecture. It has excellent performance in speaker recognition tasks. Based on experience, we choose to use 40-FB with more detailed information to train this model. The architecture configuration is shown in Table 10. The embedding are extracted as the feature of different speakers used for clustering algorithms.

| Layer | Layer Type           | Context factor1 | Context factor2 | Skip conn. from layer | Size   | Inter size |
|-------|----------------------|-----------------|-----------------|-----------------------|--------|------------|
| 1     | TDNN-ReLu            | t-2:t+2         |                 |                       | 512    |            |
| 2     | F-TDNN-ReLu          | t-1,t           | t,t+1           |                       | 640    | 180        |
| 3     | F-TDNN-ReLu          | t               | t               |                       | 640    | 180        |
| 4     | F-TDNN-ReLu          | t-2,t           | t,t+2           |                       | 640    | 180        |
| 5     | F-TDNN-ReLu          | t               | t               | 3                     | 640    | 180        |
| 6     | F-TDNN-ReLu          | t-3,t           | t,t+3           |                       | 640    | 180        |
| 7     | F-TDNN-ReLu          | t               | t               | 2,4,6                 | 640    | 180        |
| 8     | F-TDNN-ReLu          | t-3,t           | t,t+3           |                       | 640    | 180        |
| 9     | F-TDNN-ReLu          | t               | t               |                       | 640    | 180        |
| 10    | F-TDNN-ReLu          | t-3,t           | t,t+3           | 5,7,9                 | 640    | 180        |
| 11    | F-TDNN-ReLu          | t               | t               | 6,8,10                | 640    | 180        |
| 12    | Dense-ReLU           | t               | t               |                       | 1024   |            |
| 13    | Pooling(mean+stddev) | full-seq        |                 |                       | 2048   |            |
| 14    | Dense-ReLU           |                 |                 |                       | 512    |            |
| 15    | Dense-ReLU           |                 |                 |                       | 512    |            |
| 16    | Dense-Softmax        |                 |                 |                       | N.spks |            |

Table 10: F-tdnn architecture

## 3.3. Clustering algorithms

In addition to the agglomerative hierarchical clustering (AHC) [13] used by the official baseline, we also explored the spectral clustering used in [14]. The number of speakers in each sentence in CHiME6 is confirmed to be 4, so the threshold is also determined accordingly.

## 3.4. VB Refinement

After segment level clustering, because embedding segments are too quantized, we use Variational-Bayesian(VB) refinement [15] to refine the mark boundaries. The parameters are re-learned with VoxCeleb data using 23-MFCC. All the diarization results are shown in Table 12.

## 4. Results

The results of hybrid system for Track 1 rank A are 41.65 WER% and 40.24%WER in development sets and evaluation, along with 56.9% and 50.6% in dev and eval for End-to-End system in rank B track 1.

| Track | Rank | Dev (WER %) | Eval (WER %) |
|-------|------|-------------|--------------|
| 1     | A    | 41.65       | 40.24        |
| 1     | B    | 40.25       | 39.62        |
| 1     | B    | 56.9        | 50.6         |

Table 11: Track 1 submitted results

The results of track 2 category A are 57.72%DER, 61.85%JER and 77.5%WER for development set and 65.36%DER, 67.32%JER, 72.52%WER for evaluation sets.

| Baseline   | Development Set |       |       | Evaluation Set |       |       |
|------------|-----------------|-------|-------|----------------|-------|-------|
|            | DER%            | JER%  | WER%  | DER%           | JER%  | WER%  |
| Category A | 57.72           | 61.85 | 77.52 | 65.36          | 67.32 | 72.52 |

Table 12: Track 2 submitted results

## 5. Conclusions

In this paper, we did various investigations on both track 1 and track 2 that all giving a better results than baseline. Our team started CHiME-6 at February, we think more experiments can be done as well as better improvements can be achieved if we start it earlier.

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